



Lowlands and Uplands Scotland Programmes 2007-2013

LOWLANDS & UPLANDS SCOTLAND PROGRAMMES 2007- 2013

THIRD CALL APPLICATION SEMINAR

ESEP LTD





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Welcome and Introduction





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AGENDA

- Overview of Third Call Process
- Decision Making Process
- National Rules
- Priorities
- Questions and Answers



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OVERVIEW

- Application Process completed on €UROSYS
- All applicants must register on €UROSYS
- First Stage Deadline: 14 August 2009
- IAB respond by: 11 September 2009
- Second Stage Deadline: 09 October 2009
- Technical Checks to be complete by 06 November 2009



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OVERVIEW

- Submission of revised 2nd stage applications by Applicants: 20 November 2009
- Applications to Advisory Group: 04 December 2009
- Standing Advisory Group Meetings: 1 – 12 February 2010
- Programme Monitoring Committee: 24 March 2010
- Ministerial Announcement: TBC




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DECISION MAKING

- Technical & Eligibility Assessment by the IAB
- Advisory Group Appraisal
 - Virtual Advisory Groups
 - Scores Collated
 - Average Score for each project
 - Standing Advisory Groups



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DECISION MAKING

- Monitoring Committee Recommendation
- Ministerial Approval




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JUSTIFICATION

- Guidance on criteria contained within Notes for Applicants
- Nine criteria: high/medium/low
- Pay particular attention to high weighting



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JUSTIFICATION

- Strong emphasis on:
 - Fit with national & local strategies
 - Partnership
 - Track record
 - Horizontal Themes




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IMPORTANT POINTS

- Project threshold - annual £200k eligible cost
- Multi-Annual Funding
- Minimum Intervention Rate



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IMPORTANT POINTS

- Match Funding has to be confirmed no later than 31 March 2010
- Horizontal Themes
- Additionality/Added Value
- Outcomes/Results in line with Operational Programmes
- Increased Monitoring and Verification – Upfront Compliance



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QUESTIONS



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NATIONAL RULES



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NATIONAL RULES

- Single set of rules applied consistently and equally to ERDF and ESF
- Activity must be additional and eligible
- National Rules specify eligible and ineligible activities



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NATIONAL RULES

- Compliance
- Match Funding




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NATIONAL RULES

- Staff costs are eligible for staff directly involved in the project
- Job descriptions should accurately summarise contributing activity
- Where staff not 100% on the project - timesheets must be provided
- Hourly rate calculation




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NATIONAL RULES

- Other points to note:
 - In Kind contributions – only for ERDF (provision of land & real estate)
 - Direct private sector participation is not eligible as match funding



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NATIONAL RULES

- Other points to note:
 - Responsibility of lead applicant (particularly for partnership projects)
 - Procurement (contracting) – all contracts must be competitively tendered
 - Purchase of equipment – only eligible for ERDF capital projects



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NATIONAL RULES

- Other points to note:
 - Overheads – must be additional and directly related to the project
 - Depreciation
 - Repairs and Maintenance
 - VAT



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NATIONAL RULES

- Expenditure must be:
 - Properly incurred and defrayed
 - Evidenced through bank accounts
 - Fully vouched via transaction lists
 - Comprehensive Audit Trail



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INELIGIBLE COSTS

- Moveable infrastructure
- Recoverable VAT
- Overheads/'on-costs'
- Purchase of equipment – ESF/ERDF Revenue




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KEY POINTS

- Additionality: minimum grant necessary
- In-kind contributions very limited
 - Staff are not in-kind contributions but may be eligible costs
- Revenue: income deducted from eligible costs
- Question and Answer section will be published as addendum to National Rules



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QUESTIONS



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PROGRAMME & PRIORITIES



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ERDF Priority Overview

- Priority 1: Research and Innovation
- Priority 2: Enterprise Growth
- Priority 3: Urban Regeneration
- Priority 4: Rural Development

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Priority 1: Research and Innovation

- Support for individual enterprises and research centres
- Support for research collaboration
- Role of Scottish Enterprise as a Strategic Delivery Body

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Priority 2: Enterprise Growth

- Access to finance
- Entrepreneurship support
- Business processes

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Priority 3: Urban Regeneration

- Linking urban areas of need with areas of opportunity
- Improving the potential capacity of urban areas to develop



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Priority 3: Eligible Areas

Methodology

- Dual methodology
 - 10 SIMD Local Authorities with highest share of 15% most deprived data zones
 - 7 Local Authorities with the highest percentage of young people not in employment, education or training
- Eligible areas are annually updated and published before each round
- 10% flexibility of spend for neighbouring areas



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Priority 3: Eligible Areas

List of areas for Third round

- Clackmannanshire	- Dundee City
- East Ayrshire	- Edinburgh City
- Fife	- Glasgow City
- Inverclyde	- North Ayrshire
- North Lanarkshire	- Renfrewshire
- South Lanarkshire	- West Dunbartonshire
- West Lothian	



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Priority 4: Rural Development

- Strengthening rural industries and diversification
- Supporting development of key shared services to underpin rural diversification
- Role of South of Scotland Global Grants Scheme

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Priority 4: Methodology

- Methodological approach
- Annually updated and published before each round
- 10% flexibility of spend for neighbouring areas

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Priority 4: Eligible Areas

List of areas for Third round

- Aberdeenshire
- Angus
- Argyll & Bute (in LUPS area)
- Dumfries & Galloway
- East Ayrshire
- East Lothian
- Moray (in LUPS area)
- Perth & Kinross
- Scottish Borders
- Stirling

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ESF Priority Overview

- Priority 1: Progressing into Employment
- Priority 2: Progressing through Employment
- Priority 3: Improving Access to Lifelong Learning

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Priority 1: Progressing into Employment

- Target Groups:
 - Hardest-to-reach
 - Those with multiple deprivations
 - Young people at risk, especially MCMC
 - Employers recruiting from these groups
- Example Activities:
 - Early engagement/customised support
 - Work preparation/confidence building, basic skills
 - Work experience
 - Intermediate labour market activity

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Priority 1: Eligible Areas

- Clackmannanshire	- Dundee City
- East Ayrshire	- Edinburgh City
- Fife	- Glasgow City
- Inverclyde	- North Ayrshire
- North Lanarkshire	- Renfrewshire
- South Lanarkshire	- West Dunbartonshire
- West Lothian	

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Priority 2: Progressing through Employment

- Support for low-skilled/low-paid workers
- Addressing gender imbalances in the workforce
- Improving managerial skills
- Capacity building of social enterprises

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Priority 3: Improving access to Lifelong Learning

- Mainstreaming successfully piloted new approaches to lifelong learning and training
- Development of new methods of giving access to learning, and learning materials
- Skills training for personnel dealing with target groups under Priority 1
- Workplace initiatives to improve access to lifelong learning

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Horizontal Themes

- Environmental Sustainability
- Equal Opportunities
- Social Inclusion


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IAB Support Available

- One-to-One Meetings
- Advice via email/telephone/website



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Main Contacts

- **Head of Programme Management**
 - Susan Tamburrini
- **Programme Managers**
 - Billy Love
 - Lynda Peacock Smith
 - Rob Gompertz



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QUESTIONS & ANSWERS